

**Committee on Derivative  
Identifiers and Data Elements**

## **ROC Publishes Proposed Changes to CDE Technical Guidance**

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The Regulatory Oversight Committee (ROC) for the Legal Entity Identifier (LEI), Unique Product Identifier (UPI), Unique Transaction Identifier (UTI), and other Critical Data Elements (CDE) announces the publication of the Harmonisation of Critical OTC Derivatives Data Elements (Other than UTI and UPI) – Revised CDE Technical Guidance (version 4). This latest version has been developed by the ROC Committee on Derivative Identifiers and Data Elements (CDIDE) and is available [here](#).

### **What's New in Version 4?**

The revised CDE Technical Guidance includes revisions and new data elements designed to further improve the standardization and understanding of Critical Data Elements (CDE) used in the regulatory reporting of certain over-the-counter derivatives transactions. Key changes include:

- Revisions to existing elements: Effective date, Counterparty 1/2, Notional amount, Underlier ID (Other), and more
- Additions of new data elements: Maturity date of the underlying derivative, Barrier levels, Submitter identifier, Option premium schedules, and more
- Structural updates to certain existing data elements to ensure alignment with overall data framework

These updates reflect feedback received during the public consultation conducted between 24 October 2024 and 31 January 2025, as well as input from the Derivative Data Elements Industry Representation Group (DDE IRG) meeting held on 8 July, 2025. Meeting minutes can be found [here](#).

For any press-related questions, please contact: Grzegorz.Skrzypczynski@ecb.europa.eu

**Notes to editors**

## **Committee on Derivative Identifiers and Data Elements**

The [ROC](#) is an international organization of 84 financial regulators and other public sector authorities (65 members and 19 observers) from more than 50 countries established in November 2012 to coordinate and oversee a worldwide framework of legal entity identification through the Global Legal Entity Identifier (LEI) System. In 2020, the ROC's [mandate expanded to oversee governance](#) of the globally harmonized Unique Transaction Identifier (UTI), the Unique Product Identifier (UPI), and the Critical Data Elements (CDE) for over-the-counter derivatives transaction reporting. The Committee on Derivative Identifiers and Data Elements (CDIDE) is a sub-committee of the ROC and evaluates and proposes standards and protocols for UTIs, UPIs, and CDEs.