



**Committee on Derivative  
Identifiers and Data Elements**

## **ROC Publishes FAQs on Unique Transaction Identifiers**

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**Press Inquiries:**

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The Regulatory Oversight Committee (ROC) for the Legal Entity Identifier (LEI), Unique Product Identifier (UPI), Unique Transaction Identifier (UTI), and other critical data elements publishes today a [Frequently Asked Questions document Regarding Unique Transaction Identifiers](#) (UTI FAQs). The publication of the UTI FAQs has been developed by the ROC Committee on Derivative Identifiers and Data Elements (CDIDE).

The UTI FAQs provide an explanation of the recommendations found in the UTI Technical Guidance (UTI TG). The UTI FAQs address a variety of matters, including when the UTI TG assigns the UTI generation responsibility to an entity located in a jurisdiction different from the reporting counterparty. The UTI FAQs also discuss alphanumeric sorting, a sooner reporting deadline, and the order of UTI regulatory reporting deadlines by jurisdiction.

### **Notes to editors**

The [ROC was established in November 2012](#) to coordinate and oversee a worldwide framework of legal entity identification through the Global Legal Entity Identifier (LEI) System. Since October 2020 [the ROC has expanded its mandate to also become the International Governance Body](#) of the globally harmonized Unique Transaction Identifier (UTI), the Unique Product Identifier (UPI), and the Critical Data Elements (CDE) for derivatives transactions. The Committee on Derivative Identifiers and Data Elements (CDIDE) is a sub-committee of the ROC and evaluates and proposes standards and protocols for UTIs, UPIs, and CDEs.