

## **Allocation of Pre-LOU Prefixes for Pre-LEI Issuance**

Annex 2 of the [FSB third progress note](#) on the Global LEI Initiative on 24 October 2012 set out the FSB conclusions on the number allocation scheme to be used for the Global LEI System. The following method is to be used:

- Characters 1-4: A four character prefix allocated uniquely to each LOU.
- Characters 5-6: Two reserved characters set to zero.
- Characters 7-18: Entity-specific part of the code generated and assigned by LOUs according to transparent, sound and robust allocation policies.
- Characters 19-20: Two check digits as described in the ISO 17442 standards.

FSB third progress [note](#) and the following note [four](#) specified that public authorities wishing to sponsor local pre-LEI issuance that would transition to the LEI system should ensure that new numbers are allocated according to the above guideline. This approach does not affect ISO 17442 compliant numbers issued prior to 30 November 2012.

The [ROC Secretariat](#) will allocate a 4 digit random number prefix to sponsored pre-LOU solutions meeting the specified conditions and will publish this prefix on the [LEI ROC website](#). Starting from 12 October 2014, the allocation of a new prefix is subject to the prior endorsement of the pre-LOU by the ROC.

The following table outlines the prefixes provided to sponsored pre-LOU solutions meeting the specified conditions. It will be updated when new prefixes are granted.

**Table 1: Four Digit Prefixes allocated to sponsored Pre- LOUs<sup>1</sup>**

Date of issuance	Sponsor	Pre-LOU	Prefix	Operational status
8 November 2012	U.S. Commodity Futures Trading Commission	DTCC/SWIFT CICI	5493	Operational
20 November 2012	Bundesanstalt für Finanzdienstleistungsaufsicht	WM Datenservice	5299	Operational
20 December 2012	Central Bank of Ireland	Irish Stock Exchange	6354	Operational
20 December 2012	Palestine Capital Market Authority	Palestine Securities Exchange	1392	
11 January 2013	Capital Markets Board of Turkey	Takasbank	7890	Operational
1 March 2013	Central Bank of Russian Federation (Federal Financial Markets Services, Russia)	National Settlement Depository	2534	Operational
18 March 2013	French Ministry for Economy and Finance	Institut National de la Statistique et des Etudes Economiques	9695	Operational
6 June 2013	UK Financial Conduct Authority	London Stock Exchange	2138	Operational
14 June 2013	Netherlands Authority for the Financial Markets	Dutch Chamber of Commerce	7245	Operational
12 August 2013	People's Bank of China	China Financial Standardization Technical Committee	3003	Operational
14 August 2013	Central Bank of Argentina	Central Bank of Argentina	5791	Operational
19 August 2013	Polish Financial Supervisory Authority	Krajowy Depozyt Papierów Wartościowych S.A.	2594	Operational

<sup>1</sup> All pre-LOUs issuing codes are required to comply with all the principles to be observed by Pre-LOUs that have been specified by the ROC and the FSB. For the purpose of the Uniqueness and Exclusivity check, all operational pre-LOUs must perform Uniqueness and Exclusivity checks against all pre-LEIs issued by operational pre-LOUs as indicated in Table 1.

Date of issuance	Sponsor	Pre-LOU	Prefix	Operational status
23 August 2013	Banca d'Italia and Commissione Nazionale per le Società e la Borsa	Unione Italiana per le Camere di Commercio, Industria, Artigianato e Agricoltura	8156	Operational
8 October 2013	Financial Supervisory Authority, Finland	National Board for Patents and Registration (PRH)	7437	Operational
9 November 2013	Bundesanstalt für Finanzdienstleistungsaufsicht	Bundesanzeiger Verlag GmbH (Bundesanzeiger)	3912	Operational
23 November 2013	Banco de España	Registro Mercantil del Reino de España	9598	Operational
27 November 2013	Czech National Bank	Centrální depozitář cenných papírů, a.s.	3157	Operational
8 January 2014	Reserve Bank of India	Clearing Corporation of India Limited (CCIL)	3358	Operational
26 January 2014	Royal Norwegian Ministry of Trade, Industry and Fisheries	Brønnøysund Register Centre	5967	Operational
10 February 2014	Saudi Arabian Monetary Agency	Saudi Credit Bureau	5586	Operational
18 February 2014	National Bank of Slovakia	Centrálny depozitár cenných papierov SR, a.s. (Central Securities Depository Slovakia)	0979	Operational
12 March 2014	Financial Services Board, South Africa	Strate (Pty) Limited	3789	Operational
14 April 2014	Banco de Portugal	Instituto de Registos e Notariado – IRN	5798	
14 April 2014	Banque Centrale du Luxembourg	LuxCSD	2221	Operational
14 April 2014	Financial Services Agency of Japan (JFSA)	Japan Exchange Group/ Tokyo Stock Exchange	3538	Operational

Date of issuance	Sponsor	Pre-LOU	Prefix	Operational status
		(JPX/TSE)		
12 May 2014	Korea Financial Services Commission	Korea Securities Depository	9884	Operational
16 May 2014	Securities & Exchange Commission of Nigeria	Central Securities Clearing System Plc of Nigeria	0292	Operational
28 May 2014	Australian Securities and Investments Commission	APIR Systems Limited	2617	Operational
4 August 2014	Ontario Securities Commission (OSC)	CDS Clearing and Depository Services Inc. (CDS)	9278	
8 September 2014	Financial Services Commission, Mauritius	Central Depository & Settlement Co Ltd (CDS), Mauritius	1325	Operational
22 April 2014	Croatian Financial Services Supervisory Agency	Zagreb Stock Exchange	7478	Operational
20 January 2016	Bank of Slovenia	Central Securities Clearing Corporation (Centralna klirinško depotna družba d.d.-KDD), Slovenia	4851	Operational